

## 1.9 Confidentiality Policy



Home-Start Bristol (HSB) recognises that the legitimate use of information underpins our service.

### Confidentiality Principles:

- Those who share sensitive personal information with HSB have an absolute right to expect that it will be treated as confidential
- Personal and confidential information in any form obtained by HSB will be handled in compliance with data protection law and only in the ways relevant to the purpose of providing support as set out in our privacy notice
- Access to the information we hold is limited to those who have a genuine need to see it in order to fulfil their roles in delivering our services
- Everyone who works for or with HSB understands their duty to maintain the confidentiality and relevance of information that is shared with or by them and the consequences of breaching that confidentiality

### Responsibilities for the Principles in Practice

For Trustees - that means:

- fully committing to the principles of confidentiality and the management and security of information they receive in the course of their duties
- being responsible for ensuring that everyone in HSB understands and is committed to maintaining confidentiality
- ensuring that dated and signed records are kept of all access to sensitive information along with the reasons for that access
- ensuring that procedures are in place that mean that the information collected is only what is needed to deliver the service, that it is kept securely in whichever form it takes and is only available to those who need to know
- ensuring that procedures are in place for sharing information securely and in line with the privacy notice
- being responsible for dealing with any breach of confidentiality including, if necessary, ending an individual's association with HSB, reporting breaches to the relevant authority and cooperating with any investigation/ prosecution

For Staff that means:

- following the principles set out in the policy and the associated Privacy Notice in all their work
- maintaining the confidentiality and security of all their records
- ensuring that information they hold about others and information they provide about themselves is accurate, up to date and only what is needed to provide the service
- recognising that everyone involved with HSB has a right to confidentiality
- following the systems and procedures to maintain confidentiality including when sharing with other agencies

- knowing that where there are concerns about the safety or wellbeing of a child or vulnerable adult, the families, or individual members within the family, need not be informed that their information is being passed on to the relevant authorities if telling them has the potential to cause further harm, or may jeopardise any investigation by Police, Social Care services or other agencies with legal investigatory powers.
- knowing and following the procedures for dealing with a request for information from the police, courts or other agencies with legal powers to collect information
- being aware that breaches of confidentiality are serious matters and could result in being fired and even prosecution

For Volunteers that means:

- making sure they understand and follow the principles of confidentiality and understand the limits around what information is collected and shared (set out in the privacy notice) and follow the procedures put in place by HSB to maintain that confidentiality
- being careful not to discuss families they support in ways that would identify them to others
- making sure that any information they record about their families is held securely and is destroyed as soon as support is ended
- knowing that breaches of confidentiality are serious and could result in ending their volunteering role and even make them liable to prosecution

For Families that means:

- knowing how and why HSB will collect information from and about them and in what circumstances it could be shared (set out in the Privacy Notice)
- having confidence that HSB will respect their right to confidentiality and will let them know wherever possible before sharing information about them
- being aware that if HSB believes that telling them that we will share their information might put them or a child at risk of harm we will not tell them first
- knowing that HSB will keep accurate and up to date records and that there are clear limits to how long information is kept in an identifiable form

Appendix 1 attached to this policy describes the procedures HSB uses to ensure confidentiality.  
Appendix 2 gives the details on the reporting of breaches of confidentiality.

This policy should be read alongside the Data Protection policy.

Date of Board Approval: 15 September 2022

Signature of Chair:



Review date: September 2023

This has been checked against the HSUK policy dated 2019 and is the same.

## **Appendix 1**

These are the procedures HSB has in place.

### **1. Induction and training**

For trustees, staff and volunteers

During induction or the Volunteer Course of Preparation everyone should be made aware of and asked to commit to the HSB Confidentiality principles and procedures by signing the Safeguarding Code of Conduct

For families

During the initial visit the coordinator will go through the Privacy Notice, explaining and trying to ensure understanding. They will also do their best to make any partner not present at the meeting aware of the privacy notice

### **2. Safeguarding**

There are times when trustees, staff and volunteers may need to break confidentiality. If there are concerns about the safety or wellbeing of a child, or a vulnerable adult, data protection law allows the sharing of relevant personal information. In fact, there is a legal and moral obligation to report safety or wellbeing concerns to the relevant authorities. Information shared in these circumstances must be shared in a secure manner and only the minimum personal information necessary for the purpose must be shared. The parties involved should not be informed that information about them has been shared if doing so would jeopardise an investigation by police, social care or other agency with investigatory powers.

### **3. Sharing information**

#### **3.1 Trustees**

Trustees may have access to confidential information.

Where the board has to discuss confidential personnel matters or operationally sensitive items the minutes are taken separately from the normal minutes and their circulation restricted to only those who need to know. Generally, however, reports to the board about operational matters, services, needs, case studies, etc, will be in an anonymised form.

Trustees are responsible for monitoring how HSB handles confidential information and for ensuring there are appropriately secure storage arrangements maintained.

#### **3.2 Staff**

When staff share information with other agencies they will need to ensure such agencies have a legitimate interest in knowing the information and that they have appropriate confidentiality, data protection and privacy notices in place which conform with the law.

When discussing families with other staff or with volunteers, confidentiality should be maintained at all times in line with this policy and the Data Protection policy. Such discussions should take place in a confidential setting.

When formal requests from courts or police for information are made to HSB the trustees should be informed and the material supplied in the form of a witness statement drawn up from actual dated and signed records.

### 3.3 Volunteers

When meeting for peer support, or training events, volunteers should not discuss the families that they are supporting/have supported in a way which would identify them to others and breach that family's right to confidentiality.

Diary sheets, and any information held by the volunteer that might identify families, should be stored securely.

### 3.4 Other agencies and external contractors

Confidential information may be shared with relevant agencies who have a legitimate interest in supporting the families in line with the consent given when accepting support.

Families are informed of when such sharing takes place unless to do so would put anyone at risk (see point 2).

Statistical information and case studies for funders or other stakeholders are provided in an anonymous form.

Any third party contractor (e.g. an IT engineer) accessing personal, sensitive information incidental to their work will sign an undertaking ensuring strict confidentiality will be maintained and be under contract to protect the confidentiality and security of the information.

## 4 Record Keeping

All records are kept securely and in line with HSB's Data Protection Policy.

Access to records is monitored and restricted to those who have a need to know or a particular role to fulfil (including QA and external auditors).

Trustee, Staff, Volunteer and Family files have a record of access form which is signed and dated by anyone accessing them.

Information in files is the minimum necessary to provide effective support.

Publicly accessible displays/notice boards should not contain identifiable information about families.

Statistical information about families and the service may be shared in an anonymous form.

Trustees, staff and volunteers are made aware of their rights under data protection law to access what information HSB holds about them.

## Appendix 2 – Breach Flow chart

- A decision will be taken by the Data Protection Trustee on whether to report a breach
- If a breach may result in adversely affecting individuals' rights you must also inform those individuals without undue delay.
- You must keep a record of any personal data breaches regardless of whether you are required to notify.
- Failing to notify a breach can result in a significant fine.

